SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] MANUSO JAMES S J						2. Issuer Name and Ticker or Trading Symbol Ocuphire Pharma, Inc. [OCUP]									tionship of F all applicab Director		Person(s) to Issuer 10% Ov	vner
(Last)	(Last) (First) (Middle)					3. Date of Earliest Transaction (Month/Day/Year) 01/11/2023								7 ^	Officer (g below)	ive title		Other (s below)	-
C/O OCUPHIRE PHARMA, INC.						4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indiv	6. Individual or Joint/Group Filing (Check Applicable Line)				
37000 GRAND RIVER AVE, SUITE 120													X	Form filed by One Reporting Person					
														Form filed by More than One Reporting Person					
(Street)																			
FARMINGTON MI 48335 HILLS																			
(City)	(State)	(Zi	p)																
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
Date					Transaction te onth/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)					4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a			Securities Beneficiall Following	Beneficially Owned Following Reported		nership : Direct (D) lirect (I) . 4)	7. Nature of Indirect Beneficial Ownership
									Code	v	Amount (A) (D)		(A) or (D)	Price	 Transaction(s) (Instr. 3 and 4) 				(Instr. 4)
Common Stock 01/1						11/2023			A		18,54	4	Α	(1)	55,0	009		D	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year) 3A. Deemed Execution Date, if any (Month/Day/Year)			Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable a Expiration Date (Month/Day/Year)		te	Securities Under Derivative Secu (Instr. 3 and 4)		nderlying curity 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				c				Date Exercisa	able	Expiration or		Amount or Number of Shares		(Instr. 4)					

Explanation of Responses:

1. The Reporting Person was granted shares of common stock in lieu of cash for board services for 2023. The number of shares was determined by dividing (A) the aggregate amount of the retainer to be earned for board services for such period, by (B) the average fair market value of a share of common stock for the 30 consecutive trading days ending on and including the last trading day prior to the grant date (rounded down to the nearest whole share). The average fair market value was \$3.07 per share, the average of the closing price of the common stock for the 30 consecutive trading days prior to January 11, 2023.

/s/ Emily J. Johns, by Power of 01/12/2023

<u>Attorney</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.